

## Application and decision

Dnr		

## Establishment of a doctoral position Inrättande av doktorandplats

Application | Ansökan

Application   Ansokun	
Name of applicant (principal supervisor)	E-mail
Proposed co-supervisor	Proposed co-supervisor, if more than one
Propsoed co-supervisor, if more than one	KI department for the doctoral position
	Click to choose department
Title doctoral projekt	-
Ethical permit(s) has been granted. Dnr/ref.no:	
☐ Ethical permit(s) will be/has been applied for ☐ Eth	nical permits are not necessary for this project
I want to claim exemption from advertising the doctoral position. ☐ The doctoral student is to complete the studies within the ☐ The doctoral student has previously begun doctoral studies ☐ Similar special grounds (e.g. the doctoral student has been	framework of employment by employer other than KI es at another higher education institution
Requested documents are attached (see next page)	
Date Signature applicant (principal supervisor) [or Ed	du\$ign]
To be filled in by the department:	
Assessment - Director of doctoral studies   Studier	ektors bedömning
All of the following requirements for establishing a doctor	oral position have been met.:.
The applicant has been given a Green Light	
The scientific project is feasible and suitable as a	a doctoral project (peer review)
Ethical permit is available or planned (if applical	ble)
The supervisor constellation is relevant for the property of the property	roject
The doctoral student will have access to a good of t	
There is a financial plan	
Date Signature Director of doctoral studies [or EduSign]	
Endorsement   Tillstyrkan	
Date Signature Administrative Head [or EduSign]	
Date Signature (for example head of unit) - if the department so rec	quires [or EduSign]

## Decision – Head of department | Beslut av prefekt

Signature Head of department (prefekt)

A doctoral position is hereby established at this department. The financial plan is approved.

Printed name or stamp

[or EduSign]

Härmed inrättas en doktorandplats vid aktuell institution. Finansieringsplanen godkänns.

210906

Date

### Mandatory attachements

- 1. A Green Light application (or: a copy of an already approved Green Light for this doctoral project)
- 2. Research plan, including background, research questions, methods, planned studies and significance (around 5 pages incl. references) together with a preliminary time plan for the doctoral student.<sup>1</sup>
- 3. CV of all supervisors (max 2 pages each)
- 4. Description of the competence and role of each supervisor in relation to the research project\*
- 5. Description of doctoral education environment\*
- 6. Financial plan
- 7. If exemption from advertising: Document(s) verifying the reasons for exemption
- \* Can be attached as a separate document or included in the research plan. Follow instructions from the department

#### **INFORMATION**

To establish a doctoral position is the first step in the admission process for new doctoral students. The purpose of this step is to ensure, at an early stage, that the research project, the supervision and the doctoral education environment are of high quality, and to ensure there is a sound financial plan.

**Green light** of the principal supervisor is one of the aspects assessed in this step. Therefore, a green light application should be attached. If a green light assessment already has been processed for this doctoral project, *e.g.* for a KID application, a copy of that decision has to be attached to this application.

Reasons for **exemption from advertising** the doctoral position is also evaluated in this step. According to the Higher Education Ordinance the only grounds for exemption are those mentioned in the form. If there are no valid grounds for exemption, the doctoral position must be advertised.

This decision is valid 1 year and only at this KI department. The original document is kept at the department, a copy is given to the applicant.

More information on the admission process: http://ki.se/en/staff/admission-to-doctoral-education-at-ki.

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Att inrätta en doktorandplats är det första steget i KI:s antagningsprocess för nya doktorander. Syftet med detta steg är att på ett tidigt stadium kvalitetssäkra doktorandprojekt, handledarskap och övrig forskarutbildningsmiljö och säkerställa att det finns en rimlig plan för finansiering.

**Grönt ljus** för huvudhandledaren är en av de aspekter som ingår i bedömningen och en ifylld grönt ljusansökan läggs därmed med som bilaga. Om grönt ljus för detta doktorandprojekt redan har bedömts, t.ex. inför en KID-ansökan, läggs en kopia av det beslutet med som bilaga.

I detta steg tas det även ställning till om det finns skäl för **undantag från kravet på utlysning** av doktorandplatsen. Krav på utlysning är reglerat av Högskoleförordningen och de enda skäl till undantag är de som nämns i blanketten. Om det inte finns giltiga skäl för undantag ska doktorandplatsen utlysas.

Beslut om inrättande av doktorandplats **gäller för aktuell institution under 1 år** från beslutsdatum. Originaldokument bevaras av institutionen, en kopia ges till den sökande handledaren.

Mer information om antagningsprocessen: http://ki.se/medarbetare/antagning-till-forskarutbildning.

<sup>&</sup>lt;sup>1</sup> Most departments prefer the research plan to be written in English. If you want to write it in Swedish, ask the study director if that is allowed at your department.

Dnr:



# Application for 'green light'

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Green light to supervise a doctoral student

Applicant (principal supervisor)			
Name applicant		E-mail	Year of birth
Academic title	Employer		
	□ KI □	Region Stockholm  Other:	
KI department		Unit, research group, etc	
Click to choose department			
Doctoral project			
Title			
The application			
☐ This green light is a part of an application	for establishin	g a doctoral position	
☐ This green light application is filed separa	tely, for the foll	owing reason:	
☐ KID grant application ☐ Appoir	nting a new prir	ncipal supervisor during an ongoing docto	oral education
☐ Other reason (explain):	0 1		
Information about applicant			
Number of doctoral students that you are <i>cur</i>	rently supervis	ing:	
as principal supervisor: as co-sup		<b>G</b>	
as principal supervisor.	CI VISOI.		
☐ I have participated in KI's Introductory do	ctoral supervis	ion course Year:	
☐ I will participate in KI's Introductory docto	ral supervision	course before the admission of a new do	octoral student
☐ I have completed KI's mandatory web co	urse for superv	isors Year:	
☐ I have participated in other course(s) rele	vant to supervi	sing doctoral students.	
Description, including course title, organi	iser and year, i	n "Comments" below.	
Comments/other relevant information			

Signature of applicant
☐ I have time to supervise a new doctoral student
☐ I can provide good supervision to a doctoral student
☐ I am aware that a good doctoral education environment is a requirement for establishing a doctoral position
Date Signature applicant
Endorsement - if the Department so requires
I endorse this application (to be signed by head of unit, research group leader, or equivalent)
Date Signature
DECISION
An approval means that the applicant has a green light to supervise a new doctoral student at this department - providing that a doctoral position can be established for the named doctoral project.
Godkännande innebär att sökanden har grönt ljus att handleda en ny doktorand vid denna institution – under förutsättning att en doktorandplats kan inrättas for aktuellt doktorandprojekt.
Green light application approved - <i>Grönt ljus-ansökan godkänns</i>
This application is approved when the decision is signed below or signed digitally (EduSign) – given that the declined-box below is not ticked.
Ansökan är godikännd när beslutet är underskrivet nedan eller genom digital signering (EduSign) – under föurtsättning att rutan för avslag inte är ikryssad.
Green light application declined - Grönt ljus-ansökan avslås
The application is declined if this box is ticked <sup>1</sup> . A document where the reasons are stated must be attached.
Ansökan är avslagen om rutan är ikryssad <sup>1</sup> . Ett dokument ska bifogas som beskriver skälen till avslaget.
We have made a joint decision: Vi har tagit ett gemensamt beslut:
Date Signature, Head of department (prefekt) Name in block letters

Name in block letters

Name in block letters

Name in block letters

Signature, Director of doctoral studies (studierektor)

Signature

Signature

Date

Date

Date

Om den sökande har ansökt digitalt skrivs blanketten ut på paper så att det går att kryssa i rutan. Därefter kan blanketten skannas in om beslutsfattarna signerar digitalt. Dår går att ha flera originaldokument om signaturerna inte går att samla i samma dokument.

<sup>&</sup>lt;sup>1</sup> If the applicant has submitted this form digitally, the document has to be printed to make it possible to tick the box. After that, the document can be scanned to make it possible for the decision makers to sign digitally. It is possible to have more than one original document if the signatures are in different documents.

#### **INFORMATION**

Green Light is an assessment of an intended principal supervisor. It is only valid for a specific doctoral project and should not be considered as a general approval to supervise.

A green light application is normally filed as an attachment to the application for establishment of a doctoral position. In some cases, *e.g.* when applying for a KID grant, the assessment for Green Light can be done earlier in a separate process. A Green Light application is also needed if a new principal supervisor is appointed during an ongoing doctoral education.

If an application for Green Light is denied, the decision must be accompanied by a written motivation. A rejected applicant is entitled to re-apply.

The green light is only valid at the department issuing the Green Light. The original decision is kept by the department, a copy is given to the applicant.

#### More information

Information on the admission process: <a href="https://ki.se/en/staff/admission-to-doctoral-education-at-ki">https://ki.se/en/staff/admission-to-doctoral-education-at-ki</a>.

Information on supervisor training: <a href="https://ki.se/en/staff/doctoral-supervisor-training">https://ki.se/en/staff/doctoral-supervisor-training</a>.

Rules for doctoral education at KI: <a href="https://ki.se/en/staff/rules-and-general-syllabus-for-doctoral-education">https://ki.se/en/staff/rules-and-general-syllabus-for-doctoral-education</a>.



# Appendix for "Establishment of a doctoral position" at CLINTEC

to recruit a doctoral student / to start a doctoral project

APPENDIX Information regarding the intended p	orincipal supervisor and co-superviso	rs	
Applicant (principal supervisor)			
Name Name			Year of Birth
Adress, phone, email			Supervision %
Doctoral project			
Preliminary title of doctoral project			
This project is exempt of appouncem	ont according to Högskoloförordning	ron (UE)	
This project is exempt of announcement	ent according to nogskolerorordining	gen (nr)	☐ Yes ☐ No
If yes, name of the proposed candidate.			
Co-supervisors			
Name			Year of Birth
Adress, phone, email			Supervision
, ,			%
Employer	Academic title	Research group	
☐ KI ☐ SLL ☐ Other, namely:	☐ Dr ☐ Docent ☐ Professor		
Name			Year of Birth
Adress, phone, email			Supervision %
Employer  KI SLL Other, namely:	Academic title  Dr Docent Professor	Research group	
Ki SEE Suiter, mainery.	Bi Boccii Hiolessoi		
Name			Year of Birth
Adress, phone, email			Supervision
			%
Employer	Academic title	Research group	
☐ KI ☐ SLL ☐ Other, namely:	Dr Docent Professor		

Number of doctoral students you are <u>presently</u> supervising: as principa	al supervisor:	as co-supervisor:
List of doctoral students you presently are the <u>principal supervisor</u> for:	(more than four: attach	a list)
Name	Admission year	Planned dissertation
Number of hours per week available for supervising a new doctoral stu	udent:	
Total number of doctoral students you have supervised to a doctoral of	degree (or licentiate d	legree)
as principal supervisor: as co-supervisor:		
Co-supervisor experience		
Number of doctoral students you are <u>presently</u> supervising: as principa	al supervisor:	as co-supervisor:
List of doctoral students you presently are the principal supervisor for:	(more than four: attach	a list)
Name	Admission year	Planned dissertation
Number of hours per week available for supervising a new doctoral stu	udent:	
Total number of doctoral students you have supervised to a doctoral of	degree (or licentiate d	legree)
as principal supervisor: as co-supervisor:		
Co-supervisor experience		
Number of doctoral students you are <u>presently</u> supervising: as principal	al supervisor:	as co-supervisor:
List of doctoral students you presently are the <u>principal supervisor</u> for:	(more than four: attach	a list)
Name	Admission year	Planned dissertation
Number of hours per week available for supervising a new dectoral et	ident:	
Number of hours per week available for supervising a new doctoral stu-		
I atal number of destard students you have supervised to a destard (	degree (or licentiate d	learee)

Number of doctoral students you are <u>presently</u> supervising: as	principal supervisor:	as co-supervisor:
ist of doctoral students you presently are the principal supervi	sor for: (more than four: attach	a list)
Name	Admission year	Planned dissertation
Number of hours per week available for supervising a new doct	oral student:	
Total number of doctoral students you have supervised to a do	ctoral degree (or licentiate d	learee)
	• (	3 /
as principal supervisor: as co-supervisor:	J ,	3 ,
as principal supervisor: as co-supervisor:		
as principal supervisor: as co-supervisor:	<u> </u>	
as principal supervisor: as co-supervisor:		
as principal supervisor: as co-supervisor:	<u> </u>	
as principal supervisor: as co-supervisor:	<u> </u>	
as principal supervisor: as co-supervisor:	<u> </u>	
as principal supervisor: as co-supervisor:  Signature Head of Division  I have read the application. I guarantee the financing for the constellation.		

Name in print

#### Insert pages:

- Research plan
   CV of all supervisors
   Description of the competence and role of each supervisor in relation to the research project\*
   Description of doctoral education environment\*

<sup>\*</sup> Can be attached as a separate document or included in the research plan.



Date

Date

Date

Signature

Signature

Signature

# Financial plan

#### **Doctoral education**

Title of doctoral project		
Name of principal supervisor		
Other employer than KI		
This section must be completed if the doc	ctoral education will be performe	ed within an employment at another
organisation than Karolinska Institutet.	•	. ,
Name of prospective doctoral student		Personnummer   Social security number
Name of organisation/employer	Unit, Departm	ent etc
Tame of organisation polyton	O.I., Jopanan	
Signature, head of organisation, head of	clinic or equivalent:	
I hereby confirm that this prospective do	ctoral student will have the time	and opportunity to pursue a doctoral
education within the employment in our		· · · · · · · · · · · · · · · · · · ·
Härmed intygas att den tilltänkta doktora	anden kommer att ha tid och möj	lighet att genomföra forskarutbildningen ino
cin anctällning has ass i dan utsträskning	•	
sin anstalling nos oss i den atstrackning .	som anges i den bilagda termins	visa redogörelsen för finansiering.
		visa redogörelsen för finansiering.  Title/function
	som anges i den bilagda termins  Name in print	
Date Signature  Scholarships/stipends  The doctoral student will be financed	Name in print	
Scholarships/stipends  The doctoral student will be financed regulations.	Name in print  I, in full or in part, by scholarship	Title/function
Scholarships/stipends  The doctoral student will be financed regulations. To approve scholarship funding the d	Name in print  I, in full or in part, by scholarship	Title/function  S/stipends in agreement with KI scholarship
Scholarships/stipends  The doctoral student will be financed regulations.  To approve scholarship funding the determs/conditions.	Name in print  I, in full or in part, by scholarship	Title/function  S/stipends in agreement with KI scholarship
Scholarships/stipends  The doctoral student will be financed regulations. To approve scholarship funding the d	Name in print  I, in full or in part, by scholarship  department must have information	Title/function  s/stipends in agreement with KI scholarship on such as: funding organisation, amount and
Scholarships/stipends  The doctoral student will be financed regulations. To approve scholarship funding the determs/conditions.  Signatures Those at Karolinska Institutet responsible thereby certify that the attached financial	Name in print  I, in full or in part, by scholarship department must have information le for financing the doctoral stud	Title/function  s/stipends in agreement with KI scholarship on such as: funding organisation, amount and
Scholarships/stipends  The doctoral student will be financed regulations. To approve scholarship funding the determs/conditions.  Signatures Those at Karolinska Institutet responsible	Name in print  I, in full or in part, by scholarship department must have information le for financing the doctoral stud	Title/function  s/stipends in agreement with KI scholarship  on such as: funding organisation, amount and

The financial plan is approved by the Head of Department as part of the decision of establishing the doctoral position. The financial plan can be revised before the admission of the doctoral student.

Name in print

Name in print

Name in print

When a doctoral student has been recruited, they must be given a copy of the financial plan (final version).

Title/function

Title/function

Title/function

# Planned funding for each semester Doctoral education will be performed: Full-time, 100 % ☐ Half-time, 50 % Part time Semester Type of financing Monthly amount\* Source Principal provider \*Please write amount before tax deduction and without indirect costs (INDI and LKP). Those signing page 1, sign here with initials (not applicable if using electronic signatures):